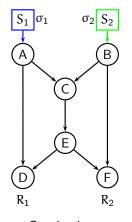
# NETWORK MULTICAST: A theoretical Minimum and an Open Problem

Emina Soljanin Rutgers

Aalto U., August 2016

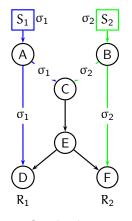
## NETWORK MULTICAST – The Butterfly



- ▶ Sources  $S_1$  and  $S_2$  produce bits  $\sigma_1$  and  $\sigma_2$ .
- Each receiver needs bits from both sources.
- ► The edges have unit capacity.

Can both sources simulaneosly transmit to both reseivers?

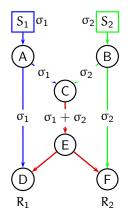
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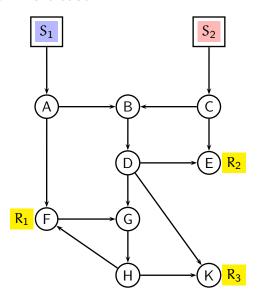
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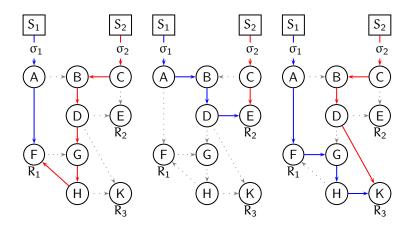
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- ► The edges have unit capacity.

Can both sources simulaneosly transmit to both reseivers? Yes if nodes can XOR bits.

## A Network for Multicast



#### Three Unicasts in a Multicast Network



#### Network Multicast Theorem

#### Conditions:

- Network is represented as a directed, acyclic graph.
- ► Edges have unit-capacity and parallel edges are allowed.
- ▶ There are h unit-rate information sources  $S_1, \ldots, S_h$ .
- ▶ There are N receivers  $R_1, ..., R_N$  located at N distinct nodes.
- Between the sources and each receiver node,
  - the number of edges in the min-cut is h (or equivalently)
  - ▶ there are h edge-disjoint paths  $(S_i, R_j)$  for  $1 \le i \le h$ .

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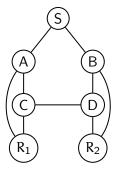
Claim: There exists a multicast transmission scheme of rate h.

Moreover, multicast at rate h

- cannot always be achieved by routing, but
- can be achieved by allowing the nodes to linearly combine their inputs over a sufficiently large finite field.

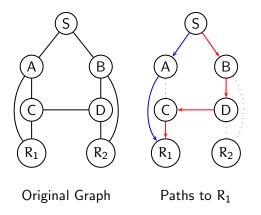
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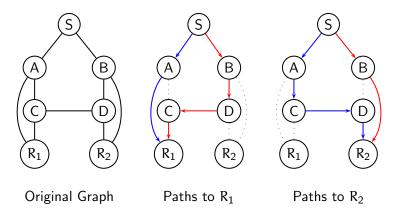


Original Graph

- ▶ The main theorem does not hold.
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## Network Multicast – Linear Combining

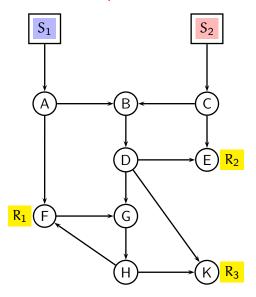
- ▶ Source  $S_i$  emits  $\sigma_i$  which is an element of some finite field.
- ▶ Edges carry linear combinations of their parent node inputs.
- Consequently,
   edges carry linear combinations of source symbols σ<sub>i</sub>.

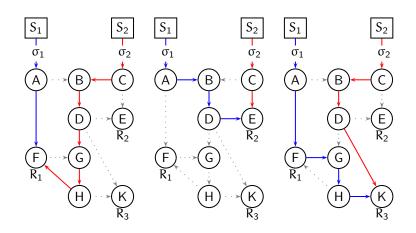
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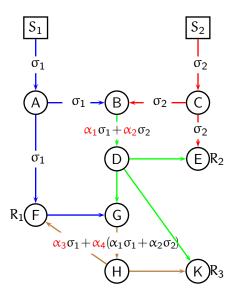
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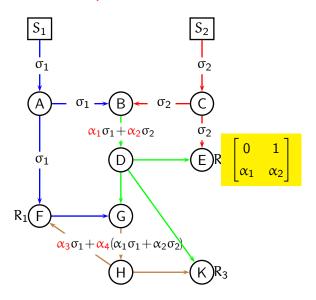
#### Network Coding Multicast Problem:

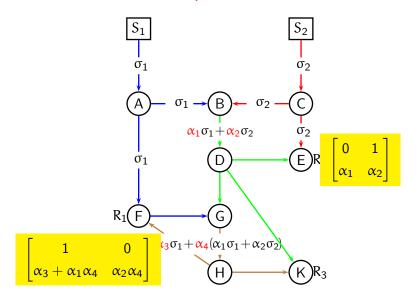
How should nodes combine their inputs to ensure that any h edges observed by a receiver carry independent combinations of  $\sigma_i$ -s?

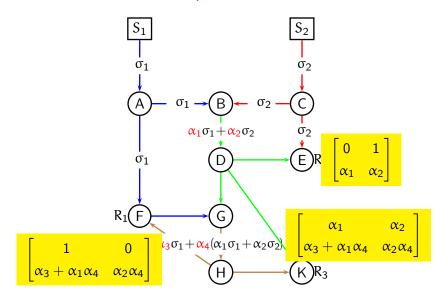












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$$\left[\begin{array}{c} \rho_1^j \\ \vdots \\ \rho_h^j \end{array}\right] = \mathbf{C}_j \left[\begin{array}{c} \sigma_1 \\ \vdots \\ \sigma_h \end{array}\right]$$

where the elements of matrix  $C_j$  are polynomials in  $\{\alpha_k\}$ .

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#### The Code Design Problem:

Select  $\{\alpha_k\}$  so that all matrices  $C_1 \dots C_N$  are full rank.

- ▶ The goal is to select  $\{\alpha_k\}$  so that  $C_1 \dots C_N$  are full rank.
- ▶ Equivalently, the goal is to select  $\{\alpha_k\}$  so that

$$f(\{\alpha_k\}) \triangleq \mathsf{det}(\mathbf{C}_1) \cdots \mathsf{det}(\mathbf{C}_N) \neq 0.$$

Can such  $\{\alpha_k\}$  be found?

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Yes, by selecting  $\{\alpha_k\}$  uniformly at random from a "large filed", we will have the polynomial  $f(\{\alpha_k\}) \neq 0$  with "high probability".

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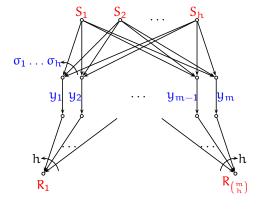
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Yes,  $\{\alpha_k\}$  can be selected form  $\mathbb{F}_q$  where q > N.

But, we don't know of any networks for which  $q > O(\sqrt{N})$  is required.

# Combination Network B(h, m)

A Popular Network With a Small-Alphabt Code



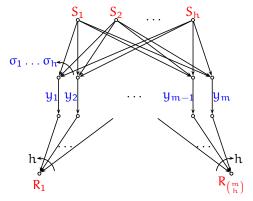
B(h, m) has

- ▶ h information sources,
- ightharpoonup (m/h) receivers, and
- m bottlenecks.

Design a rate-h multicast!

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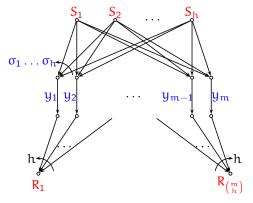
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But, what if fewer than h sources are available at the bottlenecks?

## **Coding Points**

#### The multicast condition:

Between the sources and each receiver node,

- the number of edges in the min-cut is h (or equivalently)
- ▶ there are h edge-disjoint paths  $(S_i, R_j)$  for  $1 \le i \le h$ .

Coding points are edges where paths from different sources merge.

### Local and Global Coding Vectors

- Edges carry linear combinations of their parent node inputs.
- $\blacktriangleright$  { $\alpha_k$ } are the local coding coefficients.
- ► Each edge *e* carries a linear combination of source symbols:

$$c_1(e)\sigma_1 + \dots + c_h(e)\sigma_h = \begin{bmatrix} c_1(e) \dots c_h(e) \end{bmatrix} \begin{bmatrix} \sigma_1 \\ \vdots \\ \sigma_h \end{bmatrix}$$

▶  $[c_1(e) \dots c_h(e)] \in \mathbb{F}_q^h$  is the global coding vector of edge e.

## Decoding for Receiver j

- $ho_i^j$  is the symbol on the last edge on the path  $(S_i, R_j)$ .
- $ightharpoonup c_i^j$  is the coding vector of the last edge on the path  $(S_i, R_j)$ .
- **C**<sub>j</sub> is the matrix whose i-th row is  $c_i^j$ .
- ▶ Receiver j has to solve the following system of equations:

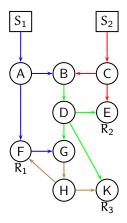
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Select a coding vector for each edge e of the network so that

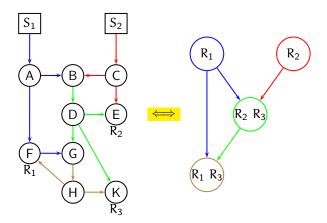
- 1. the matrices  $C_1 \dots C_N$  are full rank.
- 2. the coding vector of e is in the linear span of the coding vectors of the input edges to the parent node of e.

The only edges of interest are coding points.

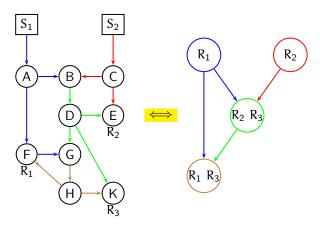
## Local and Global View



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### Local and Global View



Roughly speaking, we need to find a collection of vectors s.t.

some are in the span of others & some are linearly independent.

## Minimal h-Multicast Graph $\Gamma = (G, S, \mathcal{R})$

#### Ingredients:

- 1. Directed, acyclic graph G with
  - ▶ h source nodes  $S = S_1, ..., S_h$
  - ▶ nodes with in-degree d,  $2 \le d \le h$ .
- 2. Set of labels  $\mathcal{R} = R_1, \dots, R_N$  (receivers).

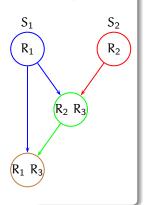
## Multicast property (labeling rules):

- $\begin{tabular}{ll} 1. Each $R_i$ is used to label exactly $h$ nodes. \\ Nodes can have multiple labels. \\ \end{tabular}$
- Nodes labeled by R<sub>i</sub> are connectible to the sources by h node-disjoint paths.

### Minimality:

If an edge is removed, the multicast property is lost.

### Example:



## Code Design Problem for Network Multicast

## Select a vector in $\mathbb{F}_q^h$ for each node in G s.t.

- 1.  $S_j$  is assigned  $e_j$ .
- vectors of the h nodes sharing a receiver label are linearly independent
- 3. the vector assigned to a node is in the span of the vectors assigned to its parents.

We call such assignments network multicast codes.

# Example: $[1\ 0]$ $[0\ 1]$ $R_1$ $R_2$ $R_2 R_3 [1 1]$ $(R_1 \ R_3)[1 \ \alpha]$ or $[0 \ 1]$

Can such selection of vectors be made? Over how small field?

### The Field Size?

## Theorem [Fragouli & Soljanin '06]:

► For networks with 2 sources and N receivers,

$$q\geqslant\alpha=\lfloor\sqrt{2N-7/4}+1/2\rfloor$$

is sufficient, and, for some networks, necessary.

For networks with h sources and N receivers,

$$q \geqslant a = N$$

is sufficient. (Proven even earlier a couple of times.)

We don't have any examples where we need  $\alpha > \mathcal{O}(\sqrt{N})$ .

## Coding for Networks with Two Sources

▶ Let  $\mathcal{L}$  be the following set of (q+1) vectors:

[0 1], [1 0], and [1 
$$\alpha^i$$
] for  $0 \leqslant i \leqslant q-2$ ,

where  $\alpha$  is a primitive element of  $\mathbb{F}_q$ .

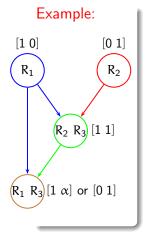
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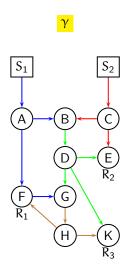
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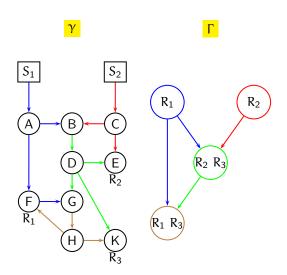
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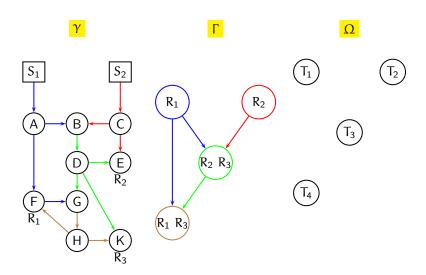
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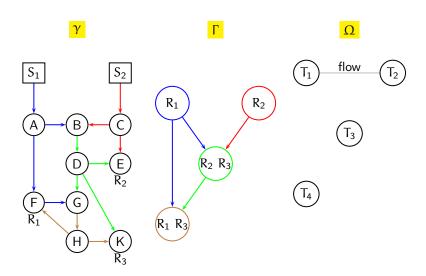
- ▶ Consider any two different vectors in  $\mathcal{L}$ :
  - they are linearly independent, and
  - ▶ any vector in  $\mathcal{L}$  is in their linear span.
- $\implies$  Vectors in  $\mathcal{L}$  can be treated as colors.

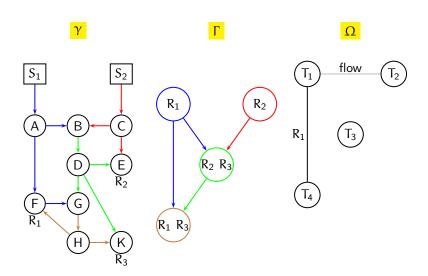


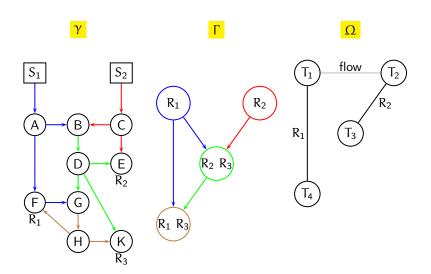


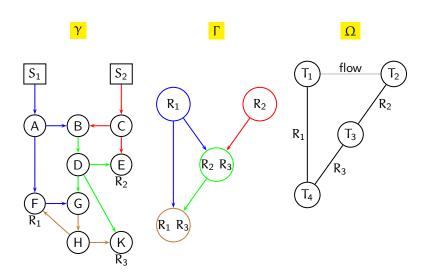


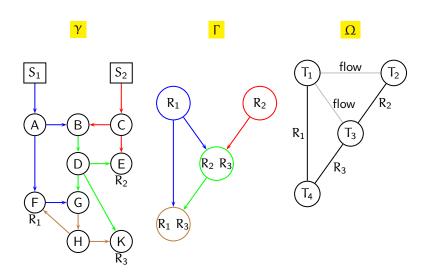


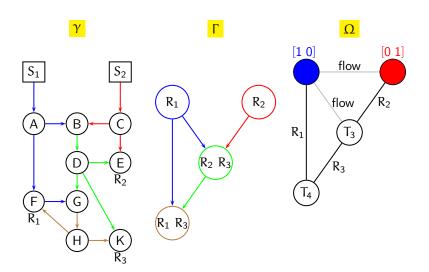


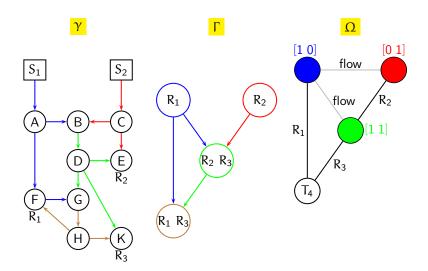


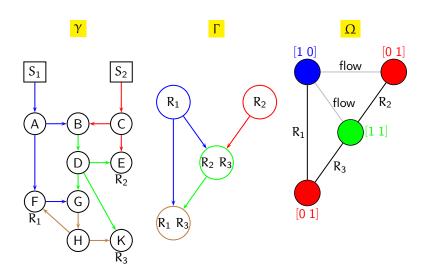












### Field Size for Network with Two Sources

 $\ell$  -The Chromatic Number of  $\Omega$ 

Claim: 
$$\ell \leqslant \sqrt{2N - 7/4 + 1/2 \rfloor + 1}$$

#### Elements of the Proof:

**Lemma**: Every vertex in an  $\Omega$  has degree at least two.

### Field Size for Network with Two Sources

 $\ell$  -The Chromatic Number of  $\Omega$ 

Claim:  $\ell \leqslant \sqrt{2N - 7/4 + 1/2 \rfloor + 1}$ 

#### Elements of the Proof:

- **Lemma**: Every vertex in an  $\Omega$  has degree at least two.
- ▶ Lemma: Every  $\ell$ -chromatic graph has at least  $\ell$  vertices of degree at least  $\ell 1$ .

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#### Elements of the Proof:

- **Lemma**: Every vertex in an  $\Omega$  has degree at least two.
- ▶ Lemma: Every  $\ell$ -chromatic graph has at least  $\ell$  vertices of degree at least  $\ell 1$ .
- ▶ For an  $\Omega$  with n nodes, chromatic number  $\ell$ , and  $\epsilon$  edges:
  - 1.  $\epsilon \geqslant [\ell(\ell-1) + (n-\ell)2]/2$   $\leftarrow$  from the lemmas
  - 2.  $\epsilon \leqslant N + n 2$   $\leftarrow$  receiver and flow edges

Recall that  $\mathbb{F}_q$  provides q + 1 colors when h = 2.

#### h > 2

We cannot dispose of geometry and just do combinatorics

### Is there generalization of the coloring idea?

- ▶ We have used points on the projective line as colors.
- ▶ Con we use the points on arcs in  $\mathbb{PG}(h-1, q)$  as colors?

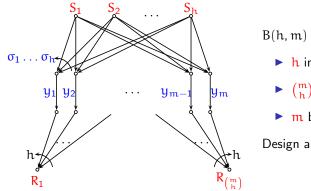
Yes, if each non-source node has h inputs.

Roughly speaking, we need to find a collection of vectors s.t. some are in the span of others & some are linearly independent.

Are there counterparts to the "coloring graph"  $\Omega$ ? E.g., matroids, finite geometry relations?

## Combination Network B(h, m)

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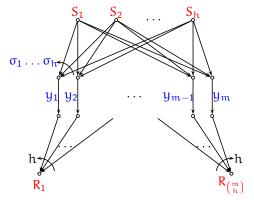
- h information sources,
- ► (m/h) receivers, and
- m bottlenecks.

Design a rate-h multicast!

Map  $\{\sigma_i\}$  to  $\{y_k\}$  by an [m, h] Reed-Solomon code.

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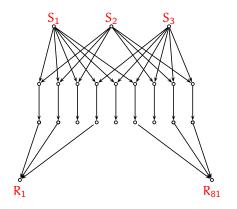
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But, what if fewer than h sources are available at the bottlenecks?

### A Distributed Combination Network

Fewer than h sources are available at the bottlenecks



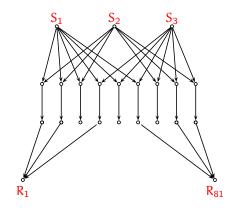
#### There are

- ▶ 3 information sources,
- ▶ 9 bottlenecks, and
- ▶  $\binom{9}{3} 3 = 81$  receivers.

Design a rate-3 multicast!

### A Distributed Combination Network

Fewer than h sources are available at the bottlenecks



There are

- ▶ 3 information sources,
- ▶ 9 bottlenecks, and
- ightharpoonup (9) 3 = 81 receivers.

Design a rate-3 multicast!

Only information that is locally available can be combined.

## Non-Monotonicity

There may be a solution over  $\mathbb{F}_{q_0}$  but not over  $\mathbb{F}_q$  for some q>0

### Coding vectors for our example network:

$$\begin{bmatrix} a_1 & a_2 & a_3 \\ c_1 & c_2 & c_3 \\ 0 & 0 & 0 \\ v_1 & & v_2 \end{bmatrix} \begin{bmatrix} b_1 & b_2 & b_3 \\ 0 & 0 & 0 \\ e_1 & e_2 & e_3 \\ v_2 & & v_3 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 \\ d_1 & d_2 & d_3 \\ f_1 & f_2 & f_3 \end{bmatrix}$$

All  $3 \times 3$  sub-matrices, except  $v_1$ ,  $v_2$ ,  $v_3$ , should be non-singular.

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All  $3 \times 3$  sub-matrices, except  $v_1$ ,  $v_2$ ,  $v_3$ , should be non-singular.

## In which fields $\mathbb{F}_q$ does a solution exist?

- **No** solution exists when q < 7.
- ▶ A solution exists for all  $q \ge 9$ .
- ▶ A solution exists for q = 7
- **No** solution exists for q = 8.

### What Would We Like To Do?

... short of solving the problem ...

Find relations (equivalences) with other problems, e.g.,

### What Would We Like To Do?

... short of solving the problem ...

Find relations (equivalences) with other problems, e.g.,

### Something old:

Three problems of Segre in  $\mathbb{PG}(h-1, q)$ 

- 1. What is the size g(h, q) of the maximal arc, and which arcs have g(h, q) points?
- 2. For which q and h < q are all arcs with q + 1 points equivalent?
- 3. What are the sizes of the complete arcs, and what is the size of the second largest complete arc?

## Something new:

constrained MDS codes, codes with locality constraints, minimal multicast graph topologies vs. geometry of arcs.

#### Who are We?





From left to right: Fragouli, Valdez, Manganiello, Halbawi, Soljanin, Anderson, Walker, Kaplan