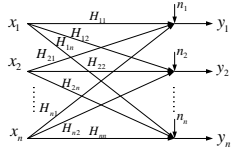


Optimal Spectrum Management In Multiuser Interference Channels

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System Model

Multiuser Interference Channel



- Users share a common band
- Continuous frequency channel
- Normalized channel gains and noise power: $\alpha_p(f) \triangleq \frac{|H_p(f)|^2}{|H_1(f)|^2}$, $N_p(f) \triangleq \frac{\sigma_p(f)}{|H_1(f)|^2}$

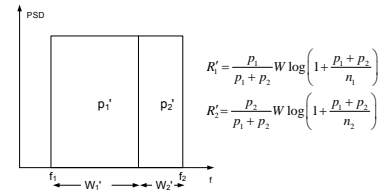
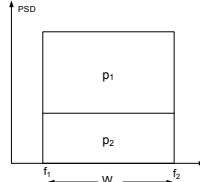
- Assumption: Treat interference as noise
- Individual user's rate: $R_i = \int_{f_1}^{f_2} \log\left(1 + \frac{P_i(f)}{N_i(f) + \sum_{j \neq i} P_j(f)\alpha_{ji}(f)}\right) df$

Intuitions in the Form of OSM

- The effect of coupling strength: Strong \rightarrow FDMA; Weak \rightarrow freq. sharing
- The effect of power budget: Large \rightarrow FDMA; Small \rightarrow freq. sharing

Two Basic Co-existence Strategies and One Basic Transformation

- Flat Frequency Sharing vs. Flat FDMA
- Flat FDMA power re-allocation $W_1' = \frac{P_1}{P_1 + P_2}W$, $W_2' = \frac{P_2}{P_1 + P_2}W$, $P_1' = P_2' = P_1 + P_2$



- Why all flat? Because they are the building blocks of all non-flat cases

Strong Interference Scenario: the Conditions for the Optimality of FDMA

Objective

- The Condition on Channel Parameters under which FDMA is guaranteed to be optimal regardless of power constraints.

Interpretations / Properties of the Condition

- Is this condition *universal*?
- Does this condition apply to achieving *All* n -user Pareto optimal points?
- Is this condition "*pairwise*"?
- Does whether two users should be orthogonalized only depends on the channel parameters between themselves?
- Is this condition on channel parameters the *weakest possible*?

The Condition for the Optimality of FDMA within Strongly Coupled Users

- **Theorem 1.** (2-user flat channel case)
As long as $\alpha_{12} \geq \frac{1}{2}$, $\alpha_{21} \geq \frac{1}{2}$, we have $R_1' \geq R_1$ and $R_2' \geq R_2$
i.e., by a flat FDMA re-allocation of a flat frequency sharing, both users' rates will increase (or stay unchanged).
- n -user flat channel case
As long as $\alpha_{ji} \geq \frac{1}{2}, \forall i \neq j$, we have $R_i' \geq R_i$, for all i

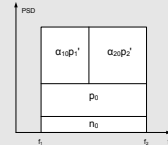
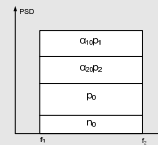
- n -user frequency selective channel case: As long as $\alpha_{ji}(f) \geq \frac{1}{2}, \forall i \neq j, \forall f \in (f_1, f_2)$, FDMA between all users are preferred by all users. (Universal, but Group-wise condition)

FDMA within a Subset of Users Benefits All Other Users

- 2 interferers (1, 2) flat channel, do a flat FDMA re-allocation
- **Theorem 2.** (2-interferer flat channel case) $R' \geq R$

$$R = W \log\left(1 + \frac{P_0}{\alpha_{01}P_1 + \alpha_{02}P_2 + n_0}\right)$$

$$R' = \frac{P_1}{P_1 + P_2}W \log\left(1 + \frac{P_0}{\alpha_{01}(P_1 + P_2) + n_0}\right) + \frac{P_2}{P_1 + P_2}W \log\left(1 + \frac{P_0}{\alpha_{02}(P_1 + P_2) + n_0}\right)$$



i.e., by a flat FDMA re-allocation of user 0's two interferers (user 1 and 2), user 0's rate will increase (or stay unchanged,) regardless of all the channel/coupling conditions.

- Also true in n -interferer flat channel case and n -interferer frequency selective channel case.

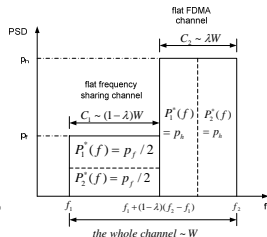
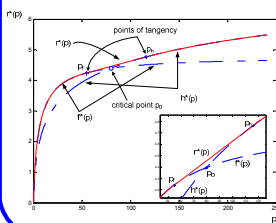
Conclusions

- Among all the existing users 1, 2, 3, ..., n , for any two users i and j , within any sub-band (f_1', f_2') , if $\alpha_{ji}(f) \geq \frac{1}{2}$ and $\alpha_{ij}(f) \geq \frac{1}{2}, \forall f \in (f_1', f_2')$ then no matter from which user's perspective (1, 2, 3, ..., n), an FDMA between user i and j within this sub-band is always preferred.
- *Universal, Pairwise and the Weakest* (not only sufficient, but also necessary)

General Interference Scenario

Sum-rate maximization in two-user symmetric channels with equal power constraint

- Flat channel cases $\max_{P_1(f), P_2(f)} R_1 + R_2, s.t. \int_{f_1}^{f_2} P_i(f)df \leq \frac{P}{2}, P_i(f) \geq 0, i=1,2$.
- Max sum-rate with flat frequency sharing: $f^*(p) = 2W \log\left(1 + \frac{p/2}{1 + \alpha p/2}\right)$
- Max sum-rate with FDMA: $h^*(p) = W \log(1 + p)$



$r^*(p)$ is defined to be the convex hull of $f^*(p)$ and $h^*(p)$. **Theorem 3:** $r^*(p)$ is the optimal value of the sum-rate maximization in two-user symmetric flat channels.

- Frequency selective channel cases: primal domain convex optimization

$$\max_{\tilde{p}(f)} \int_{f_1}^{f_2} r^*(\tilde{p}(f); f)df, s.t. \int_{f_1}^{f_2} \tilde{p}(f)N(f)df \leq P, \tilde{p}(f) \geq 0, \forall f \in (f_1, f_2)$$

The General Problem

- Problem formulation: $\max_{P(f), \lambda} \sum_{i=1}^n w_i R_i, s.t. \int_{f_1}^{f_2} P(f)df \leq P, P(f) \geq 0, \forall f \in (f_1, f_2)$

- Define rate density function $r(P(f); f) \triangleq \sum_{i=1}^n w_i \log\left(1 + \frac{P(f)}{N_i(f) + \sum_{j \neq i} P_j(f)\alpha_{ji}(f)}\right)$ and its convex hull $r^*(P(f); f)$

- **Original problem** $\max_{P(f), \lambda} \int_{f_1}^{f_2} r(P(f); f)df \iff$ **The relaxed problem** $\max_{P(f), \lambda} \int_{f_1}^{f_2} r^*(P(f); f)df$
s.t. $\int_{f_1}^{f_2} P(f)df \leq P, P(f) \geq 0, \forall f \in (f_1, f_2)$ s.t. $\int_{f_1}^{f_2} P(f)df \leq P, P(f) \geq 0, \forall f \in (f_1, f_2)$

- **Alternative proof of the zero duality gap theorem**

{Strong Duality for (a) $\Rightarrow \hat{p}^* = \hat{d}^*$; $\hat{g}(\lambda) \geq g(\lambda), \forall \lambda \geq 0 \Rightarrow \hat{d}^* \geq d^*$; Weak Duality for (a) $\Rightarrow d^* \geq p^*$ }
Equivalence of (a) and (a) $\Rightarrow \hat{p}^* = p^* \Rightarrow \hat{p}^* = \hat{d}^* = d^* = p^*$