



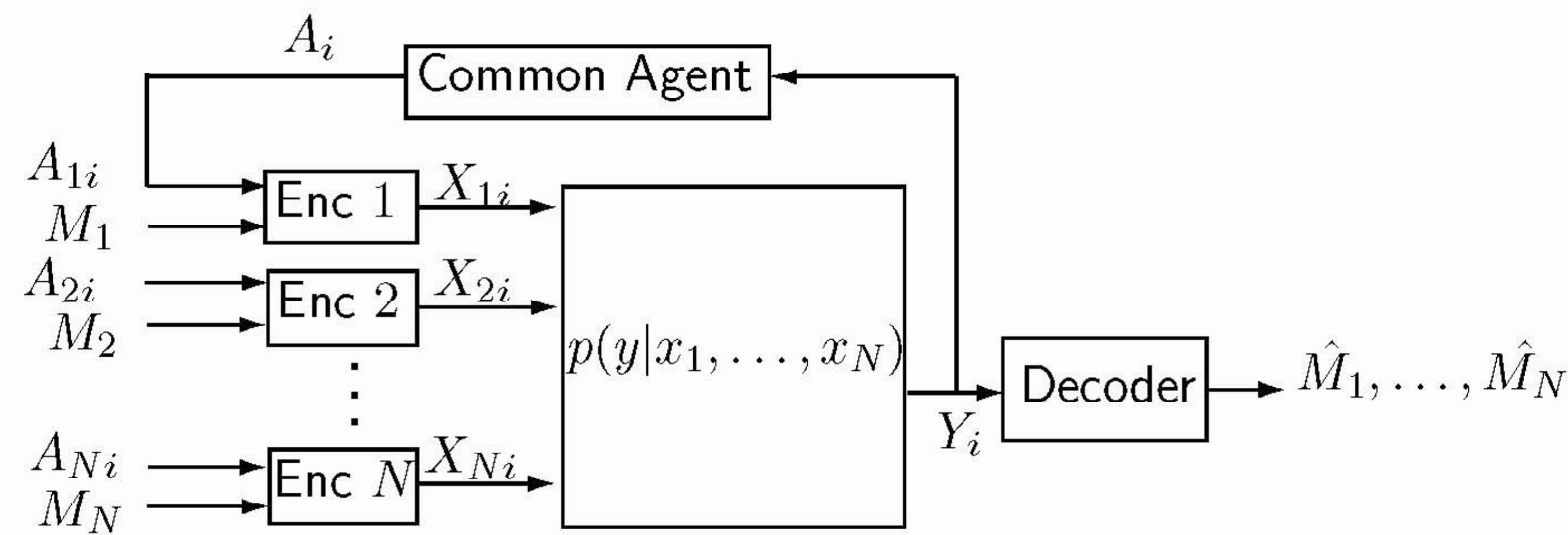
Control Formulation for the Multiple Access Channel with Feedback

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History on AWGN-MAC with Feedback

- Ozarow (84) characterized the capacity region of 2-user Gaussian MAC with feedback
- Kramer (02) generalized Ozarow's coding scheme and provided a coding scheme (Fourier-MEC) for N-user AWGN-MAC
- Kramer and Gastpar (06), using **dependence balance bound**, proved that under the equal per-symbol power constraint, Fourier-MEC is optimal
- Is Ozarow-Kramer coding scheme optimal for a general block power constraint? **WE DO NOT KNOW**
- What techniques other than dependence balance can be used to limit cooperation and capture the causality?

Markov Decision Problem



- State : $S_i = F_{M_1 \dots M_N}(\cdot | y^{i-1}, a^{i-1})$
- Action (Markov): $(A_{1i}, \dots, A_{Ni}) = \pi_i(S_i)$
 $x_{ki} = a_{ki}(m_k)$

Upper Bound on Sum rate of AWGN-MAC with feedback

- AWGN $Y_i = X_{1i} + \dots + X_{Ni} + Z_i$, Z_i i.i.d. $N(0,1)$
- Power constraints $\sum_{i=1}^n E(X_{ki}^2) \leq nP_k$
- Average expected reward under policy π

$$J(\pi) = \liminf_{n \rightarrow \infty} \frac{1}{n} \sum_{i=1}^n E(V(S_i, A_i))$$

- Instantaneous reward is

$$V(s, a) = I(M_1, \dots, M_N; Y_i | y^{i-1}, a^{i-1}) + \sum_{k=1}^N \lambda_k (P_k - E(X_{ki}^2))$$

- $\sup_{\pi} J(\pi)$ is an upper bound on the sum rate

Optimality Equation

Theorem [Araposthathis et al. 93]

If there exists a J^* , a bounded function $g(s)$ and a stationary policy π^* such that for all S

$$J^* + g(s) = \sup_a (V(s, a) + \int g(x) P(x|s, a) dx)$$

then $J^* = \sup_{\pi} J(\pi)$ and the stationary policy π^* attains the supremum

If we set $g(s) = 0$ then we get the full cooperation bound which is loose

Key Point: Find the right $g(s)$ which limits the cooperation

Sum Capacity for Equal Power

- One useful way of limiting cooperation is the dependence balance bound
- Using Lagrange multiplier we can define a new reward function as

$$\tilde{V}(s, a) = V(s, a) + \gamma \cdot D(s, a)$$

Where $D(s, a)$ is the dependence balance term

- **Key Point:** Find the right γ and λ_k
- **New Result:** For **equal block power constraint** a tight upper bound can be derived which shows Ozarow-Kramer coding scheme is optimal
- Details will be presented at Allerton in September

Conclusion

- We formulated MAC with feedback as a decentralized control problem which can be further transformed to a centralized problem with partial observation. The average cost optimality equation (ACOE) potentially can be used to prove upper bound on the sum rate
- Using dependence balance and optimization techniques, we proved that Ozarow-Kramer coding scheme for Gaussian MAC with feedback under equal block power constraint is optimal
- What is the relationship between dependence balance bound and ACOE?
- Can we always find an optimal linear controller for our MDP problem?